

**ADULT JAIL DIVERSION POLICY  
AND PRACTICE GUIDELINE  
February 2005**

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# **ADULT JAIL DIVERSION POLICY AND PRACTICE GUIDELINE February 2005**

## **I. Statement of Purpose**

There is a general consensus with the principle that the needs of the community and society at large are better served if persons with serious mental illness, serious emotional disturbance or developmental disability who commit crimes are provided effective and humane treatment in the mental health system rather than be incarcerated by the criminal justice system. It is recognized that many people with serious mental illness have a co-occurring substance disorder.

The practice guideline reflects a commitment to this principle and conveys Michigan Department of Community Health (MDCH) jail diversion policy and resources for Community Mental Health Services Programs (CMHSPs). The guideline is provided as required under the authority of the Michigan Mental Health Code, PA 258 of 1974, Sec. 330.1207 – Diversion from jail incarceration (Add. 1995, Act 290, effective March 28, 1996).

Section 207 of the Code states:

“Each Community Mental Health Service Program shall provide services designed to divert persons with serious mental illness, serious emotional disturbance, or developmental disability from possible jail incarceration when appropriate. These services shall be consistent with policy established by the department.”

The guideline outlines CMHSP responsibilities for providing jail diversion program to prevent incarceration of individual with serious mental illness or developmental disability who come into contact with the criminal justice system. A separate practice guideline will address Juvenile Diversion of children with serious emotional disturbance.

Jail diversion programs are intended for individuals alleged to have committed misdemeanors or certain, usually non-violent, felonies and who voluntarily agree to participate in the diversion program.

This document supersedes and replaces, for adults with serious mental illness or developmental disability, the Jail Diversion Practice Guideline found as Attachment C 6.9.5.1 to the 2003 – 2005 MDCH/CMHSP Managed Mental Health Supports and Services Contract, and as Attachment P.6.8.4.1 to the 2003 – 2005 MDCH/PIHP

Medicaid Managed Specialty Supports and Services 1915(b)(c) Waiver Program Contract.

## **II. Definitions**

The following terms and definitions are utilized in the Practice Guideline:

### **Arraignment**

The stage in the court process where the person is formally charged and enters a plea of guilty or not guilty.

### **Booking**

The stage in the law enforcement custody process following arrest, when the individual is processed for formal admission to jail.

### **CMHSP**

Community Mental Health Service Program. A program operated under Chapter 2 of the Mental Health Code as a county mental health agency, a community mental health organization or a community mental health authority.

### **Co-Occurring Disorder**

A dual diagnosis of mental health disorder and a substance disorder.

### **MDCH**

Michigan Department of Community Mental Health.

### **GAINS Center**

The National GAINS Center for People with Co-Occurring Disorders in the Justice System is a national center for the collection and dissemination of information about effective mental health and substance abuse services for people with co-occurring disorders who come in contact with the justice system. The GAINS Center is operated by Policy Research Inc. (PRI), through a cooperative agreement administered by the National Institute of Corrections (NIC). (GAINS Center website at <http://gainscenter.samhsa.gov/html/>).

### **In-Jail Services**

Programs and activities provided in the jail to address the needs of people with serious mental illness, including those with a co-occurring substance disorder, or a developmental disability. These programs or activities vary across the state and may include crisis intervention, screening, assessment, diagnosis, evaluation, case management, psychiatric consultation, treatment, medication monitoring, therapy, education, and training. Services delivered are based on formal or informal agreements with the justice system.

### **Jail Diversion Program**

A program that diverts individual with serious mental illness (and often co-occurring substance disorder) or developmental disability in contact with the justice system from custody and/or jail and provide linkages to community-based treatment and support services. The individual thus avoids or spends a significantly reduced time period in jail and/or lockups on the current charge. Depending on the point of contact with the justice system at which diversion occurs, the program may be either a **pre-booking** or **post-booking** diversion program. Jail diversion programs are intended for individuals alleged to have committed misdemeanors or certain, usually non-violent, felonies, and voluntarily agree to participate in the diversion program.

### **Post-booking Diversion Program**

Diversion occurs after the individual has been booked and is in jail, out on bond, or in court for arraignment. Often located in local jails or arraignment courts, post-booking jail diversion programs staff work with stakeholders such as prosecutors, attorneys, community corrections, parole and probation officers, community-based mental health and substance abuse providers and the courts to develop and implement a plan that will produce a disposition outside the jail. The individual is then linked to an appropriate array of community-based mental health and substance abuse treatment services.

### **Pre-booking Diversion Program**

Diversion occurs at the point of the individual's contact with law enforcement officers before formal charges are brought and relies heavily on effective interactions between law enforcement officers and community mental health and substance abuse services. Most pre-booking programs are characterized by specialized training for law enforcement officers. Some model programs include 24-hour crisis drop-off centers with a no-refusal policy that is available to receive persons brought in by the law enforcement officers. The individual is then linked to an appropriate array of community-based mental health and substance abuse treatment services.

### **Screening**

Evaluating a person involved with the criminal justice system to determine whether the person has a serious mental illness, co-occurring substance disorder, or a developmental disability, and would benefit from mental health services and supports in accordance with established standards and local jail diversion agreements.

### **TAPA Center for Jail Diversion**

The Technical Assistance and Policy Analysis Center is a branch of the National GAINS Center focusing on the needs of the communities in developing programs to divert people with mental illness from jail into community-based treatment and supports. (TAPA website at <http://gainscenter.samhsa.gov/html/tapa/>).

### III. Background Summary

During the 1990s, CMHSPs and MDCH focused resources on development of in-jail and in-detention services. In-jail services provided by most Community Mental Health Service Programs (CMHSPs) included services ranging from crisis intervention, assessment, counseling, consultation, and other mental health services. Some CMHSPs provided similar services in detention centers. An effective prototype for adults using the Assertive Community Treatment (ACT) model for persons exiting state prison, county jail, or an alternative treatment program was also developed. These programs are important for assuring the individuals with mental health needs receive services while incarcerated and are linked to appropriate services and supports upon release. While in-jail services are an important part of the comprehensive service array provided by CMHSPs, they **are not** considered to constitute a jail diversion program, **unless** they have been specifically designed as part of a “fast track” release to community treatment within a post-booking diversion program.

Some individuals with serious mental illness or developmental disability must be held in jail because of the seriousness of the offense and should receive mental health treatment within the jail. However, other individuals who have been arrested may be more appropriately diverted to community-based mental health programs. In response to views of consumers, advocates and policy makers, the requirement for a jail diversion program in each CMHSP was included in the 1996 amendments to the Michigan Mental Health Code, P.A. 258 of 1974.

The first MDCH Jail Diversion Best Practice Guideline was promulgated as an administrative directive in 1998. The directive defined the department’s jail diversion procedures and set forth conditions for establishing and implementing an integrated and coordinated program as required by the 1996 Code Amendments. New information has been used to update the guideline and to incorporate suggestions for improving current practice.

Effective programs support cross-system collaboration and recognize that all sectors of the criminal justice system need to have access to training. Training should be available to police officers, sheriffs, jail personnel, parole and probation officers, judges, prosecutors, and the defense bar.

The availability of a comprehensive, community-based service array is essential for jail diversion programs to be effective and may allow many individuals to avoid criminal justice contact altogether. People who receive appropriate mental health treatment in the community usually have a better long-term prognosis and less chance of returning to jail for a similar offense.

The National GAINS Center for People with Co-Occurring disorders in the Justice System is a national locus for the collection and dissemination of information about effective

mental health and substance abuse services for people with co-occurring disorders who come in contact with the justice system. The Center gathers information designed to influence the range and scope of mental health and substance abuse services provided in the justice system, tailors these materials to the specific needs of localities, and provides technical assistance to help them plan, implement, and operate appropriate, cost-effective programs. The GAINS Center is a federal partnership between two centers of the Substance Abuse and Mental Health Services Administration, the Center for Substance Abuse Treatment and the Center for Mental Health Services, and the National Institute of Corrections (NIC). More recently, this federal partnership has expanded to include the Office of Justice Programs and the Office of Juvenile Justice and Delinquency Prevention. The Center is operated by Policy Research, Inc. of Delmar, New York in collaboration with the Louis de la Parte Florida Mental Health Institute.

Based on the results of the field research and program evaluations, the National GAINS Center asserts that the *"best diversion programs see detainees as citizens of the community who require a broad array of services, including mental health care, substance abuse treatment, housing and social services. They recognize that some individuals come in to contact with the criminal justice system as a result of fragmented services, the nature of their illness and lack of social supports and other resources. They know that people should not be detained in jail simply because they are mentally ill. Only through diversion programs that fix this fragmentation by integrating an array of mental health and other support services, including case management and housing, can the unproductive cycle of decompensation, disturbance arrest be broken."*

Strategies for creating effective diversion programs are also highlighted in the report from the "New Freedom Commission on Criminal Justice" published in June 2004. This report was published as part of the President's New Freedom Commission on Mental Health.

Several key factors are recognized as being important components of an effective jail diversion program. An effective program should:

- Recognize the complex and different needs of the population; be designed to meet the different needs of various groups within the population (such as individuals with a co-occurring substance disorder), **and** be culturally sensitive.
- Integrate all the services individuals need at the community level, including corrections, the courts, mental health care, substance abuse treatment, and social services (such as housing and entitlements), with a high level of cooperation among all parties.

- Incorporate regular meetings among the key players to encourage coordination services and sharing of information. Meetings should begin in the early stages of planning and implementing the diversion program, and should continue regularly.
- Utilize liaisons to bridge the barriers between the mental health and criminal justice systems and to manage the interactions between corrections, mental health, and judicial staff. These individuals need to have the trust and recognition of key players from each of the systems to be able to effectively coordinate the diversion effort.
- Have a strong leader with good communication skills and an understanding of the systems involved and the informal networks needed to put the necessary pieces in place.
- Provide for early identification of individuals with mental health treatment needs who meet the diversion program's criteria. This done through the initial screening and evaluation that usually takes place in the arraignment court, at the jail or in the community for individuals out on bond. It is important to have a process in place that assures that people with mental illness are screened in the first 24 to 48 hours of detention.
- Utilize case managers who have experience in both the mental health and justice systems and who are culturally and racially similar to the clients they serve. An effective case management program is one of the most important components of successful diversion. Such a program features a high level of contact between clients and case managers, in places where clients live and work, to insure that clients will not get lost along the way.

#### **IV. Essential Elements for Michigan CMHSPs**

CMHSPs shall provide a pre-booking and a post-booking jail diversion program intended for individuals:

- Alleged to have committed misdemeanors or certain, usually non-violent, felonies.
- Who voluntarily agree to participate in the diversion program.

Offenses considered appropriate for diversion shall be negotiated at the local level.

Pre-booking jail diversion program shall:

- Restrict eligibility to individuals who have or are suspected of having a serious mental illness, including those with a co-occurring substance disorder, or a developmental disability who have committed a minor or serious offense that would

likely lead to arrest, or have been removed from a situation that could potentially lead to arrest.

- Have a diversion mechanism or process that clearly describes the means by which an individual is identified at some point in the arrest process and diverted into mental health services. Specific pathways of the pre-booking diversion programs are defined and described in an interagency agreement for diversion.
- Assign specific staff to the pre-booking program to serve as liaisons to bridge the gap between the mental health, substance abuse, and criminal justice systems, and to manage interactions between these systems. It is important to have a strong leader with good communication skills and understanding of the systems involved in the informal networks needed to put the necessary pieces in place.
- Provide cross training for, and actively promote attendance of, law enforcement and mental health personnel on the pre-booking jail diversion program, including but not limited to, target group for interventions, specific pathways for diversion, key players and their responsibilities, data collection requirements, and other information necessary to facilitate an effective diversion program.
- Maintain a management information system that is HIPAA compliant and that can identify individuals brought or referred to the mental health agency as a result of a pre-booking diversion. Include the unique consumer ID as assigned by the CMHSP and the date of diversion, the type of crime, and the diagnosis. The unique ID can be used to link to the encounter data to obtain information regarding services. The CMHSP must be prepared to share its jail diversion data with the department upon request.
- Outline the program and processes in a written inter-agency agreement, or document efforts to establish an inter-agency agreement, with every law enforcement entity in the service area. Inter-agency agreements shall include, but are not limited to, the following information:
  - Identification of the target population for pre-booking jail diversion
  - Identification of staff and their responsibilities
  - Plan for continuous cross-training of mental health and criminal justice staff
  - Specific pathways for the diversion process
  - Description of specific responsibilities/services of the participating agencies at each point in the pathway
  - Data collection and reporting requirements
  - Process for regular communications, including regularly scheduled meetings
- Post-booking jail diversion programs shall



- Restrict eligibility to individuals who have or are suspected of having a serious mental illness, including those with a co-occurring substance disorder, or a developmental disability who have been arrested for the commission of a crime.
- Have a clearly described mechanism or process for screening jail detainees for the presence of a serious mental illness, co-occurring substance disorder, or developmental disability within the first 24 – 48 hours of detention. The process shall include:
  - evaluating eligibility for the program
  - obtaining necessary approval to divert
  - linking eligible jail detainees to the array of community-based mental health and substance abuse services
- Assign specific staff to program including liaisons to bridge the barriers between the mental health, substance abuse and criminal justice systems, and to manage interactions between these systems. It is important to have a strong leader with good communication skills and understanding of the systems involved and the informal networks needed to put the necessary pieces in place.
- Establish regular meetings among the key players, including police/sheriff, court personnel, prosecuting attorneys, judges, and CMHSP representatives to encourage coordination of services and the sharing of information.
- Include case managers and other clinical staff who have experience in both the mental health and criminal justice systems whenever possible. If this is not possible, documentation of recruitment efforts must be documented, and an intensive training program with specific criminal justice focus must be in place for case managers. Case Managers and other clinical staff must provide care in a culturally competent manner.
- Provide cross training for, and actively promote attendance of, law enforcement and mental health personnel on the post-booking jail diversion program, including but not limited to:
  - target group for diversion
  - specific pathways for diversion
  - key players and their responsibilities
  - data collection requirements
  - other information necessary to facilitate an effective diversion program
- Maintain a management information system that is HIPAA compliant and that can identify individuals brought or referred to the mental health agency as a result of a post-booking diversion. Include the unique consumer ID as assigned by the CMHSP and the date of diversion, the type of crime, and the diagnosis. The unique ID can be used to link to the encounter data to obtain information

regarding services. The CMHSP must be prepared to share its jail diversion data with the department upon request.

- Outline the program and processes in a written inter-agency agreement, or document efforts to establish an inter-agency agreement, with every law enforcement entity in the service area. Inter-agency agreements shall include but not be limited to the following information:
  - identification of the target population for post booking jail diversion
  - identification of staff and their responsibilities
  - plan for continuous cross-training of mental health and criminal justice staff
  - specific pathways for the diversion process
  - description of specific responsibilities/services of the participating agencies at each point of the pathway
  - data collection and reporting requirements
  - process for regular communications including regularly scheduled meetings

## **V. Resources**

- Council of State Governments  
Criminal Justice/Mental Health Consensus Project  
Report, June 2002  
[www.consensusproject.org/infocenter](http://www.consensusproject.org/infocenter)
- The National GAINS Center for People with Co-Occurring Disorders in the Justice System  
<http://gainscenter.samhsa.gov/html/>
- The President's New Freedom Commission on Mental Health  
Achieving the Promise: Transforming Mental Health Care in America  
Final Report, July 2003  
<http://www.mentalhealthcommission.gov/reports/reports.htm>
- The Technical Assistance and Policy Analysis Center for Jail Diversion (TAPA)  
<http://gainscenter.samhsa.gov/html/tapa/>